

Appendix H: Ongoing Monitoring and Assessment

Scheduled Reassessment

- + **Substantial-Risk Systems:** A reassessment should be conducted annually.

Triggered Reassessment

Vendors should immediately return to conduct a new, full assessment if **ANY** of the following events occur:

- + **System Changes:** An update changes the system's core functionality, learning processes, or decision logic. Cases where an AI-powered feature has been added to existing (non-AI) products should be treated as new AI deployments for the purposes of this framework.
- + **Scope Expansion:** The system is applied to new use cases or new populations.
- + **Performance Issues:** There is evidence of unexpected behaviors, systematic errors, or performance degradation.
- + **Rights Concerns:** Bias, disparate impacts, or constitutional compliance issues are identified.
- + **Environmental Changes:** Relevant laws, policies, or the human rights environment shifts.

Risk Escalation Protocol

- + **If Reclassified to a Higher Risk Level:** Should immediately begin implementing all requirements for the new, higher level.
- + **If Reclassified as Prohibited:** Cease operations of the system immediately. Follow the protocol in Appendix B.

Note: You should negotiate for ongoing monitoring and assessment responsibilities to be included in vendor contracts and service agreements where appropriate. At a minimum, you should discuss with vendors how reassessment triggers and data access requirements will be addressed throughout the system lifecycle.